Gaus, Inc.

INVESTMENT ADVISORY CONTRACT

35 MIRABEL AVE UNIT A
SAN FRANCISCO, CA, 94110

10/21/2026

This Investment Advisory Contract (this "Agreement") is entered into between Gaus, Inc., a Delaware corporation doing business as Astor ("Astor," "we," "us," or "our"), and the individual accepting this Agreement ("Client," "you," or "your"). This Agreement is effective on the date you accept it electronically (the "Effective Date").

1. Appointment

You appoint Astor as your investment adviser, and Astor accepts that appointment, on the terms of this Agreement. Astor is registered as an investment adviser with the U.S. Securities and Exchange Commission.

2. Services

2.1 Advisory services. Astor provides personalized, non-discretionary investment advice through its mobile application and through text-messaging channels such as iMessage and WhatsApp, generated using artificial intelligence together with quantitative and fundamental analysis, based on the information you provide about your financial circumstances, investment objectives, time horizon, risk tolerance, liquidity needs, and any constraints or preferences you specify (your "Profile").

2.2 Subscription tiers. Astor offers three service tiers: Astor Free, which carries no subscription fee, and two paid subscription tiers, Astor Pro and Astor Max. All tiers use the same advisory process. The features included in each tier at any given time are described in Astor's application and on Astor's website.

2.3 Advice is AI-generated. All advisory interaction delivered through Astor's advisor surface, including voice, is generated by artificial intelligence. Astor's personnel provide technical and administrative support only and will not discuss your portfolio, holdings, or allocation with you. Astor's investment advisory personnel oversee the system and may override or suspend its output, but do not review each individual client account.

2.4 Scope of advice. Astor's advice is limited to the securities and asset classes described in Item 4 of Astor's Form ADV Part 2A. Astor's advice does not constitute a comprehensive financial plan and does not address your tax situation, estate planning, insurance, debt, or assets held outside Astor unless you have provided that information. Astor does not provide legal, tax, or accounting advice.

2.5 No continuous monitoring. Astor does not monitor your account on a continuous or real-time basis. Astor generates advice from your Profile and market data on a periodic basis. You are responsible for keeping your Profile current, and should update it whenever your circumstances change.

3. Non-Discretionary Relationship

3.1 No discretionary authority. Astor does not have, and you do not grant Astor, discretionary authority over any account. Astor does not determine on its own authority whether, when, or in what amount any transaction occurs. Every recommendation Astor makes is only a recommendation, and you are free to accept it, modify it, or decline it.

3.2 No standing authority. Astor will not place, and has no authority to place, orders on a standing, automatic, recurring, or scheduled basis. Astor does not automatically rebalance your account, does not correct portfolio drift, transmits a stop-loss or other contingent order only upon your specific approval of that order, and will not act on any general or advance instruction to trade without your specific approval of the individual order.

4. Agentic Trading Authorization

4.1 Availability. Astor's trading feature (the "Trading Feature") is available to Clients who enable it and who connect a brokerage account at a broker-dealer Astor supports for the Trading Feature (a "Supported Broker") (each, a "Trading Account"). Astor charges no separate fee for the Trading Feature. Availability of the Trading Feature is described in Astor's application and on Astor's website.

4.2 Grant of limited authority. You authorize Astor, solely with respect to your Trading Accounts, to transmit to your Supported Broker those orders that you have specifically approved or specified, in the amounts and to the Trading Account you have approved, at the time you approve them or, for a purchase held under §4.4(3), when sufficient buying power becomes available. This is the entire scope of the authority you grant. For the avoidance of doubt, you do not authorize Astor to:

1. determine whether, when, or in what amount any transaction occurs;
2. place any order you have not specifically approved;

3. place any order on a standing, recurring, or scheduled basis, or any contingent order you have not specifically approved;

4. rebalance your Trading Accounts or correct drift;

5. withdraw, transfer, deposit, or otherwise move cash or securities into or out of any of your accounts; or

6. exercise any authority over any account other than your Trading Accounts.

4.3 How orders arise. Astor's system generates proposed transactions and presents each to you, showing the security, the side, the amount (which you may edit), the Trading Account to be used (which you may change), and Astor's rationale. A proposal becomes an order only when you approve it. You may edit the amount or decline the proposal. You may also specify an order for Astor to transmit; an order you specify is not a recommendation by Astor. Astor may present related proposals together for approval as a group; in that case each proposed order in the group is individually displayed, and remains individually editable and removable, before you approve, and your approval of the group is your approval of each order in the group as displayed. A proposal you do not act on expires at the close of the next trading day, and no order is placed.

4.4 Order mechanics. You acknowledge and agree that:

1. market orders will execute at prices available at the time of execution, which may be more or less favorable than any price displayed when you approved the order, and limit orders may never be filled or may be filled only in part;

2. orders may be placed for a dollar amount or a number of shares, and dollar-based orders may result in fractional share positions;

3. if you approve a purchase that exceeds the buying power available in your Trading Account, your approval also authorizes Astor to hold the order and to transmit it, without asking for your approval again, when sufficient buying power becomes available. If sufficient buying power does not become available within approximately three days, the held order is cancelled. A Supported Broker may make buying power available from a pending deposit before the deposit settles, in which case a held order may be transmitted before your deposit settles. Prices may change materially while an order is held;

4. residual cash resulting from any transaction remains in your Trading Account; and

5. the Trading Feature places orders in U.S.-listed equity securities only. It does not place orders in options, cryptocurrency or other digital assets, mutual funds, or fixed-income securities. Whether a Trading Account is a cash or margin account depends on your arrangements with the Supported Broker; if the account permits margin, the buying power the Supported Broker reports to Astor may include it, and a purchase you approve may be paid for in part with money borrowed from the Supported Broker.

4.5 Your relationship with your Supported Broker. Each Trading Account is your account, opened in your name and held and carried by the Supported Broker. With respect to each Trading Account, you are a customer of the Supported Broker; Astor is not a party to that account. Your relationship with a Supported Broker is governed by your agreement with that broker, including any provisions governing programmatic, API, or external AI agent access. You should read those provisions. Among other things, they may provide that:

1. the Supported Broker may suppress warnings, disclosures, and order-rejection reasons that it would otherwise display to you;

2. instructions transmitted through the connection are final and binding on the Supported Broker's receipt; and

3. the Supported Broker may suspend or terminate the connection at any time.

A Supported Broker may make no representation or commitment to Astor regarding uptime, outage notification, order handling, data security, or breach notification.

4.6 Authorization and revocation. You authorize Astor's connection to each Trading Account through the Supported Broker's authorization process. Astor does not receive, store, transmit, or have access to your username or password at any Supported Broker. You may revoke Astor's authorization at any time, in Astor's application or with the Supported Broker. Revocation stops Astor from transmitting

further orders. It does not cancel or unwind an order that has already been transmitted, which may still execute.

A Supported Broker's authorization screen may describe broader access than Astor uses, such as options trading, cryptocurrency trading, or watchlist management. Astor's systems do not use those permissions. Astor cannot place an option or cryptocurrency order, does not exercise options, and does not create or modify watchlists. The authority you grant Astor is only that stated in Section 4.2.

4.7 Scope of information Astor receives. A Supported Broker's authorization may grant Astor read access to information about other accounts you hold at that broker, including accounts on which Astor does not advise you and including any individual retirement account. If you link other accounts to Astor through Plaid, Astor also receives information about those accounts. Astor uses information about your other accounts to personalize its advice, including to select the investment themes and securities it proposes for your Trading Accounts. Astor advises you, and transmits orders, only with respect to your Trading Accounts, and does not propose or effect transactions in any other account. Astor's treatment of your information is governed by its Privacy Policy and by Regulation S-P.

4.8 Supported Brokers; execution. The Trading Feature operates only through Supported Brokers. Each order is transmitted to the Trading Account you approve for that order. Astor does not compare execution quality across brokers and cannot obtain execution outside your Trading Accounts. You may not receive terms as favorable as you might obtain through another broker. See Item 12 of Astor's Form ADV Part 2A.

4.9 No compensation from Supported Brokers. Astor does not receive, and does not expect to receive, any compensation from any Supported Broker in any form, including referral fees, revenue sharing, rebates, marketing support, or reduced fees, in connection with the Trading Feature or otherwise.

4.10 Third-party costs. Any fee a Supported Broker imposes in connection with your Trading Account is separate from Astor's advisory fee, is not paid to or shared with Astor, and is governed by the Supported Broker's fee schedule.

4.11 Availability and interruption. Astor does not control a Supported Broker's systems and has no service-level or uptime commitment from any Supported Broker. Orders may be rejected, delayed, or fail to execute, and the Supported Broker may not provide the reason. Astor will display the status of each order it transmits. You may place orders directly with your Supported Broker at any time.

4.12 Risks. The Trading Feature involves the risk of loss, including loss of your entire investment. The proposals Astor generates are produced by artificial intelligence systems that have inherent limitations, may rely on delayed, incomplete, or inaccurate data, and may produce results that do not reflect current market conditions. The risks described in Item 8 of Astor's Form ADV Part 2A and in Astor's AI Disclaimer apply to the Trading Feature and are incorporated here by reference.

5. Your Information and Responsibilities

5.1 You will provide accurate and complete Profile information and will update it promptly when your circumstances change. Astor is entitled to rely on the information you provide.

5.2 You acknowledge that your responses to Astor's Profile questionnaire may be the sole basis for Astor's recommended allocation.

5.3 You are responsible for reviewing the account statements and trade confirmations you receive from your Supported Broker, and for notifying Astor and the Supported Broker promptly of any discrepancy.

6. Custody

Astor does not have custody of your funds or securities and does not accept them. Your assets are held by your Supported Broker, your qualified custodian, which will send you account statements directly. Astor's authorization does not permit Astor to withdraw, transfer, or deposit funds or securities, and Astor's connection to a Supported Broker exposes no facility to do so.

7. Fees

7.1 Advisory fee. Astor Free carries no subscription fee. Astor charges a flat subscription fee of $19.00 per month for Astor Pro and $29.00 per month for Astor Max, billed in advance. Astor charges no performance-based fee and no fee based on a share of capital gains or capital appreciation.

7.2 Billing. Subscription fees are billed in advance. Subscriptions purchased through Astor's website are charged to the payment method you provide, with payments processed by Stripe. Subscriptions purchased through Apple's In-App Purchase system are billed by Apple. Clients on Astor Free are not billed.

7.3 Other costs. You bear all third-party costs, including any fees a Supported Broker imposes as described in §4.10, brokerage and transaction fees, fund expense ratios, and custodial fees. These are separate from Astor's advisory fee and are not paid to or shared with Astor.

7.4 Fee changes. Astor will give you at least 30 days' advance written notice of any increase in its advisory fee. If you do not accept the increase, you may terminate under §11 before it takes effect.

8. Fiduciary Duty and Non-Waiver

8.1 Astor is a fiduciary to you under the Investment Advisers Act of 1940. Astor is required to act in your best interest and not to place its interests ahead of yours.

8.2 Nothing in this Agreement waives, limits, or in any way restricts any right you have under the Investment Advisers Act of 1940, the Securities Act of 1933, the Securities Exchange Act of 1934, or any other federal or state securities law, or any rule thereunder, including any right of action you may have. Section 215(a) of the Investment Advisers Act renders void any provision purporting to bind you to waive compliance with that Act. If any provision of this Agreement is inconsistent with this §8.2, this §8.2 controls.

9. Allocation of Responsibility

9.1 Investment risk is yours. Investing involves the risk of loss, including the loss of your entire investment. Astor does not guarantee any level of performance, does not guarantee that any recommendation will be profitable, and does not insure against loss. Market conditions and the performance of any security are outside Astor's control.

9.2 Astor does not control Supported Brokers. Each Supported Broker is an independent broker-dealer, not Astor's agent, affiliate, or subcontractor. Astor does not operate a Supported Broker's systems and cannot control whether or when a Supported Broker accepts, rejects, delays, or executes an order, or whether its services are available. Astor's obligations to you relate to the advice Astor provides and to Astor's own conduct in transmitting orders you have approved.

9.3 Your decisions are yours. Astor does not have discretionary authority. You decide whether to accept, modify, or decline every recommendation Astor makes, and Astor does not act on any recommendation you have not approved.

9.4 Nothing in this Article limits Astor's fiduciary duty to you, limits Astor's liability for its own conduct, or limits any right you have under §8.2.

10. Assignment

10.1 Astor will not assign this Agreement, within the meaning of the Investment Advisers Act, without your consent.

10.2 Astor may request your consent to an assignment by written notice delivered directly to you at the mobile number or email address associated with your account, not less than 30 days before the assignment is to take effect. The notice will state:

1. the identity of the person to whom this Agreement would be assigned;
2. the date on which the assignment would take effect;
3. that you may terminate this Agreement at any time, before or after that date, without penalty, and will receive a refund of any prepaid fee for the then-current billing period; and
4. that if you continue to receive advisory services from Astor after the effective date without objecting, your continued receipt of those services will constitute your consent to the assignment.

10.3 If you continue to receive advisory services after the effective date stated in the notice and have not objected, you consent to the assignment. If you object or terminate, this Agreement ends and Astor will refund any prepaid fee for the then-current billing period.

10.4 This §10 applies to Astor. You may not assign this Agreement.

11. Term and Termination

11.1 Free look. For subscriptions purchased through Astor's website, you may cancel within five business days of subscribing for a full refund.

11.2 Termination by you. After the period in §11.1, you may terminate this Agreement at any time on written notice (including through Astor's application). If you have a paid subscription, termination takes effect at the end of the then-current billing period, and Astor continues to provide advisory services until then. Otherwise, termination takes effect when Astor receives your notice.

11.3 Termination by Astor. Astor may terminate this Agreement on 30 days' written notice, or immediately if required by law or if you breach this Agreement.

11.4 Refunds. For subscriptions purchased through Astor's website, cancellation stops future charges. Unless the subscription is refunded under §11.1, advisory services continue through the end of the then-current billing period, and the fee for that period is not refunded. Refunds are issued to the original payment method. Subscriptions purchased through Apple's In-App Purchase system are subject to Apple's cancellation and refund policies. Refund requests for those subscriptions must be submitted through Apple, and Astor does not control or guarantee Apple's approval of a refund.

11.5 Effect on the Trading Feature. On termination, Astor's authorization under Article 4 terminates, and Astor will revoke its connection to your Trading Accounts. Orders already transmitted may still execute. Your Trading Accounts, your positions, and your cash remain with your Supported Broker; termination does not liquidate or transfer any of them.

11.6 Survival. Articles 8, 9, 12, 13, 14, 16, and this §11.6 survive termination.

12. Death, Incapacity, and Legal Change

Astor's authority under Article 4 terminates on Astor's actual knowledge of your death or legal incapacity. Astor's authority is not otherwise terminated by your death or incapacity until Astor receives actual notice. Your estate or legal representative should contact both Astor and your Supported Broker.

13. Privacy and Confidentiality

Astor treats your nonpublic personal information in accordance with its Privacy Policy and Regulation S-P, and will not disclose it except as permitted by law or as necessary to provide the services, including transmission to your Supported Broker to effect orders you approve.

14. Disclosure Documents

14.1 You acknowledge that Astor has delivered, and you have received, Astor's Form ADV Part 2A (Firm Brochure), Form ADV Part 2B (Brochure Supplement), and Form CRS (Client Relationship Summary), and, if you have enabled the Trading Feature, Astor's AI Disclaimer, in each case at or before the time you accepted this Agreement.

14.2 Astor will deliver an updated brochure or a summary of material changes annually, and will deliver interim amendments as required by Rule 204-3.

15. Proxy Voting

Astor will not ask for or accept authority to vote your securities. You will receive proxies directly from your Supported Broker or the issuer and should direct proxy questions to the issuer.

16. Dispute Resolution

16.1 Agreement to arbitrate. Except as provided in §16.6, you and Astor agree that any dispute, claim, or controversy arising out of or relating to this Agreement or to Astor's advisory services will be resolved by binding arbitration rather than in court.

16.2 Rules and administrator. The arbitration will be administered by the American Arbitration Association under its Consumer Arbitration Rules then in effect, before a single arbitrator. If the AAA is unavailable or declines to administer, you and Astor will agree on a substitute administrator applying comparable consumer rules, and failing agreement, a court of competent jurisdiction may appoint one.

16.3 Costs. Astor will pay all arbitration filing, administrative, and arbitrator fees in excess of the consumer filing fee provided under the applicable AAA rules. Each party bears its own attorneys' fees, except where a statute or the arbitrator's award provides otherwise. Nothing in this Article shifts Astor's fees or costs to you.

16.4 Location and format. The arbitration will take place in the county where you reside, unless you elect otherwise. At your election, the arbitration may instead be conducted entirely by videoconference, by telephone, or on the documents alone, without an in-person hearing.

16.5 Authority of the arbitrator. The arbitrator will apply the substantive law that a court would apply, will honor applicable statutes of limitations, and may award any relief that a court could award, including any statutory, equitable, punitive, or injunctive relief. There is no limit on the damages you may seek or recover, and no limit on the types of claims you may bring. The arbitrator's award may be entered in any court of competent jurisdiction.

16.6 Exceptions. Either party may bring an individual claim in small claims court if it qualifies. Either party may seek temporary injunctive relief in court in aid of arbitration.

16.7 Your right to opt out. You may opt out of this Article 16 within thirty (30) days after you first accept this Agreement, by sending written notice to founders@joingaus.com stating your name and that you opt out of arbitration. Opting out will not affect any other provision of this Agreement and will not affect your advisory relationship with Astor in any way. If you opt out, disputes will be resolved in court.

16.8 No limitation of rights. Nothing in this Article 16 waives, limits, or restricts any substantive right or remedy you have under the Investment Advisers Act of 1940 or any other federal or state securities law. This Article addresses only the forum in which a dispute is decided. §8.2 applies to this Article in full.

16.9 Severability. If any part of this Article 16 is held unenforceable, that part will be severed and the remainder will continue to apply, except that if §16.5 or §16.8 is held unenforceable, this Article 16 will be unenforceable in its entirety and disputes will be resolved in court.

17. General

17.1 Governing law. This Agreement is governed by the laws of the State of Delaware, without regard to conflicts of law principles, except to the extent preempted by federal law. Article 16 is governed by the Federal Arbitration Act.

17.2 Entire agreement. This Agreement, together with Astor's Terms of Service, Privacy Policy, and AI Disclaimer, is the entire agreement between you and Astor regarding the advisory relationship. In the event of a conflict between this Agreement and the Terms of Service regarding advisory services, this Agreement controls.

17.3 Amendment. Astor may amend this Agreement on 30 days' written notice. If you do not accept an amendment, you may terminate under §11.

17.4 Severability. If any provision is held invalid, the remainder continues in effect. §8.2 is not severable. Article 16 is subject to §16.9.

17.5 Electronic delivery and signature. You consent to receive this Agreement, Astor's disclosure documents, and all notices electronically, including in Astor's application and by text message to your mobile number containing a link to the document, and you agree that your electronic acceptance constitutes your signature.

17.6 Notices. Notices to Astor: Gaus, Inc. dba Astor, 35 Mirabel Ave, Unit A, San Francisco, CA 94110, or founders@joingaus.com. Notices to you: the mobile number or email address associated with your account. Notices sent by text message to your mobile number are written notice under this Agreement. You must keep your mobile number current.

17.7 No third-party beneficiaries. No Supported Broker is a party to or has rights under this Agreement.

Client Acknowledgments

By accepting this Agreement, you acknowledge that:

1. Astor is your investment adviser and a fiduciary to you.
2. Astor does not have discretionary authority over your account and will not place any order you have not specifically approved.
3. Astor does not have custody of your assets and cannot move money into or out of your accounts.
4. Investing involves the risk of loss, including the loss of your entire investment.
5. Astor's advice is generated by artificial intelligence, and all advisory interaction, including voice, is AI-generated.

6. You have received Astor's Form ADV Part 2A, Form ADV Part 2B, and Form CRS.

7. You have read Article 16 and understand that disputes will be arbitrated unless you opt out within thirty days.

8. If you enable the Trading Feature, you have separately acknowledged Article 4.