Form ADV Part 3 – Client Relationship Summary

Date: 10/16/2026

Item 1: Introduction

Gaus, Inc. dba Astor is an investment adviser registered with the Securities and Exchange Commission offering advisory accounts and services. Brokerage and investment advisory services and fees differ, and it is important that you understand the differences. This document gives you a summary of the types of services and fees we offer. Please visit *www.investor.gov/CRS* for free, simple tools to research firms and financial professionals, as well as educational materials about broker-dealers, investment advisers, and investing.

Item 2: Relationships and Services

What investment services and advice can you provide me? Gaus, Inc. dba Astor provides subscription-based, AI-assisted, non-discretionary investment advice to retail clients through Astor's mobile application and messaging channels, including chat, voice, portfolio insights, and tailored market updates. Our advice is based on client-provided information, connected-account or portfolio information, and market or reference data available to the platform. We do not exercise discretion, hold your assets, or automatically rebalance client accounts. You decide whether to follow any recommendation. If you enable our trading feature, we transmit only the orders you approve for U.S.-listed equity securities to a broker supported by Astor. Otherwise, you implement investment decisions through your own broker or custodian. Astor does not manually review each client account on a periodic basis, but the platform may generate updated insights, alerts, market updates, or non-discretionary recommendations based on updated client, portfolio, or market information. Astor limits personalized recommendations to the approved investment universe described in our Form ADV Part 2A ("Brochure"). For out-of-universe holdings, Astor may identify portfolio-level risks or exposures but does not provide personalized recommendations to buy or sell specific securities outside that universe. We do not have a minimum account size. See Items 4, 7, 8, 12, 13, 15, and 16 of our Brochure.

Questions to ask us: Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

Item 3: Fees, Costs, Conflicts, and Standard of Conduct

What fees will I pay? Our free tier has no fee. Paid tiers have a fixed monthly or annual subscription fee. Current prices are shown at www.astor.app/pricing and in our app before you subscribe. Fees are charged in advance and billed through Astor's website or Apple's In-App Purchase system, depending on how you subscribe. Our fee generally does not vary based on the investments discussed or recommended. See Item 5 of our Brochure.

Some investments, such as mutual funds and ETFs, impose product-level fees and expenses. You may also pay brokerage, custodian, account, transaction, or other fees charged by your broker, custodian, or financial institution if you decide to implement an investment decision. **You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.** Please also see our Brochure for additional details.

Questions to ask us: Help me understand how these fees and costs might affect my investments. If I give you $10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have? ***When we act as your investment adviser,*** we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates

some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means:

- For fixed fees, you pay our fee even if you do not use the platform frequently or do not have any transactions.
- Referral and promotional programs. Astor operates a client referral program and engages paid third-party promoters. These persons may receive subscription credits, flat fees, referral-based compensation, or other economic benefits for referring or promoting Astor, which gives them a financial incentive to recommend Astor. See Item 14 of our Brochure.

Questions to ask us: How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money? Our financial professionals are compensated through salary and equity ownership in our firm. They do not receive commissions or transaction-based compensation for selling investment products. Because compensation may be tied to Astor's business success, client growth, subscription revenue, or client retention, they have an incentive to encourage clients to subscribe to, upgrade, or continue using Astor's services. Please also see Item 10 of our Brochure for additional details.

Item 4: Disciplinary History

Do you or your financial professionals have legal or disciplinary history? No, we do not have legal and disciplinary events. Visit *http://www.investor.gov/CRS* for a free and simple search tool to research us and our financial professionals.

Questions to ask us: As a financial professional, do you have any disciplinary history? For what type of conduct?

Item 5: Additional Information

For additional information about our services, **see our Brochure at https://adviserinfo.sec.gov/firm/summary/338424**. To request up-to-date information or a copy of this relationship summary, call us at 650-661-9880.

Questions to ask us: Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?